

Practitioner's Docket No.: 791\_228

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED  
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In re the application of: Yukihisa TAKEUCHI, Nobuo TAKAHASHI and  
Mutsumi KITAGAWA

**APR 07 2004**

Ser. No.: 10/657,842

Art Unit: 2853

**OFFICIAL**

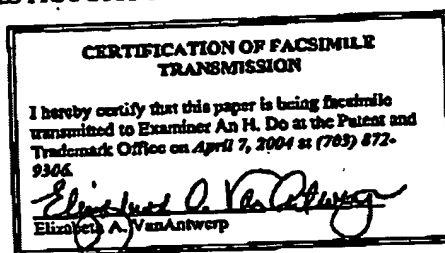
Filed: September 9, 2003

Examiner: An H. Do

Confirmation No.: 2130

For: **PIEZOELECTRIC/ELECTROSTRICTIVE FILM TYPE ACTUATOR AND  
METHOD OF MANUFACTURING THE ACTUATOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. In accordance with the Notice dated July 11, 2003 on the PTO website, we are not enclosing copies of any of the U.S. references listed on Form PTO-1449.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Adjustment Date: 06/18/2004 SDIRETA1  
04/14/2004 ADAVID 00000002 501446 10657842  
01 FC:1806 180.00 CR

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Practitioner's Docket No.: 791\_228

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

STATUS AND EXAMINER  
BRANCH

In re the application of: Yukihiisa TAKEUCHI, Nobuo TAKAHASHI and Mutsumi KITAGAWA

Ser. No.: 10/657,842

Group Art Unit: 2853

2003 MAY 14 PM 4:31

Filed: September 9, 2003

Examiner: An H. Do

Title: PIEZOELECTRIC/ELECTROSTRICTIVE FILM TYPE ACTUATOR AND METHOD OF MANUFACTURING THE ACTUATOR

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on May 11, 2004.

*Melissa T. Kuczynski*  
Melissa T. Kuczynski

### REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Sir:

#### Refund Request

This is a request for a refund, with respect to the charge to Deposit Account 50-1446, shown on the statement dated April, 2004, for the above-identified application.

- ☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

#### Fees Charged for Which Refund Is Requested

Amount of Refund

- ☐ Filing fee  
☐ Excess claims  
☐ Claims in Excess of 20  
☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))  
and/or  
☐ Extension of term  
☐ first month  
☐ second month

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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STATUS AND BRANCH

☐ Petition fee

☒ Other Information Disclosure Statement Filing Fee

\$180.00

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## Explanation of Why Contested Charge is in Error

The \$180.00 charge on April 14, 2004 is erroneous. According to 37 CFR 1.97(b)(3), the \$180.00 is not due in this case, because we filed the Information Disclosure Statement on April 7, 2004, which was before the mailing date of an Office Action *on the merits*. The Office Action mailed March 19, 2004 was a Restriction Requirement, and thus not an Office Action *on the merits*.

## MANNER OF REFUND

Please make refund by

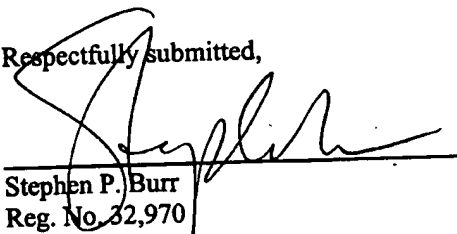
☒ crediting Deposit Account No. 50-1446.

☐ refunding payment.

May 11, 2004

Date

Respectfully submitted,

  
Stephen P. Burr  
Reg. No. 32,970

SPB/mtk

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 25191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



**United States  
Patent and  
Trademark Office**

STATUS AND ENTITY  
BRANCH

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**Deposit Account Statement**

**Requested Statement Month:**

April 2004

**Deposit Account Number:**

501446

**Name:**

BURR & BROWN

**Attention:**

STEPHEN P BURR

**Address:**

P.O. BOX 7068

**City:**

SYRACUSE

**State:**

NY

**Zip:**

13202

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	30	78394018	883_005	7001	\$335.00	\$6,631.00
04/02	80	1276743	902T025-1	7205	\$100.00	\$6,531.00
04/02	81	1276743	902T025-1	7201	\$400.00	\$6,131.00
04/08	91	10737468	782_078 E	8021	\$40.00	\$6,091.00
04/14	2	10657842	791_228	1806	\$180.00	\$5,911.00
04/23	3	10106141	789_054 DIV	1251	\$110.00	\$5,801.00
04/27	308	60494525	815009PRO	8007	\$20.00	\$5,781.00
04/29	1	10374818	811-024	1252	\$420.00	\$5,361.00
04/29	1446	76475810	877T025	7003	\$100.00	\$5,261.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$6,966.00	\$1,705.00	\$0.00	\$5,261.00

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